



PATENT  
Attorney Docket No. TIA-001  
(8584/3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Kliger et al. CONFIRMATION NO.: 7853  
SERIAL NO.: 09/943,424 GROUP NO.: 2681  
FILING DATE: August 30, 2001 EXAMINER: Not Yet Assigned  
TITLE: A Home Network System and Method

Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patent listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. A copy of the patent is enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

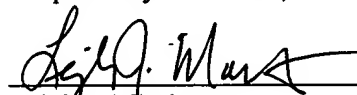
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Leigh J. Martinson  
Agent for Applicants  
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Boston, Massachusetts 02110

Date: November 5, 2002  
Reg. No. 50,749

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SHEET 1 OF 1

FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: TIA-001 APPLICANT(S): Kliger et al. SERIAL NO.: 09/943,424 FILING DATE: August 30, 2002 GROUP: 2681					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A1	5,886,732	3/23/99	Humpleman	348	10	11/22/95		
							<b>RECEIVED</b> NOV 13 2002 Technology Center 2600		
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	WO 99/35753	7/15/99	PCT			12/24/98	N	Y
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER					DATE CONSIDERED				

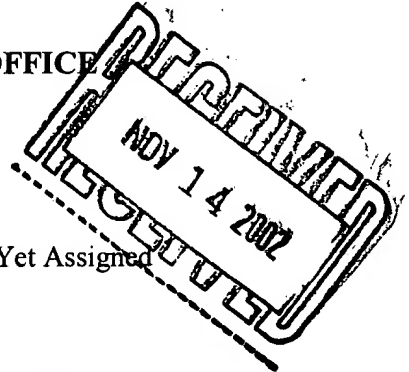


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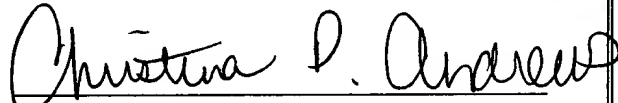
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Kliger et al. CONFIRMATION NO.: 7853  
SERIAL NO.: 09/943,424 GROUP NO.: 2681  
FILING DATE: August 30, 2001 EXAMINER: Not Yet Assigned  
TITLE: A Home Network System and Method



**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on November 5, 2002.

  
Christina P. Andrews

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

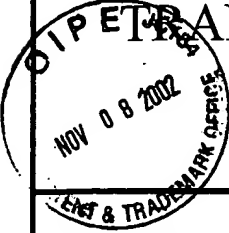
1. Transmittal Form (1 page);
2. Information Disclosure Statement (2 pages);
3. Form PTO-1449 (1 page);
4. Copy of references (A1 and B1); and
5. a return receipt postcard.

All mailed under Certificate of First Class Mailing.

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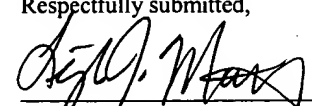
Technology Center 2600

 <h1 style="margin: 0;">TRANSMITTAL FORM</h1>	Application Serial Number	09/943,424
	Filing Date	August 30, 2001
	First Named Inventor	Kliger et al.
	Group Art Unit	2681
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	TIA-001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input type="checkbox"/> Petition for Extension of Time  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copy of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input type="checkbox"/> Additional Enclosure(s) (please identify below)  <div style="text-align: center; margin-top: 20px;"> <h2 style="margin: 0;">RECEIVED</h2> <p style="margin: 0;">NOV 13 2002</p> <p style="margin: 0;"><b>Technology Center 2600</b></p> </div>

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	<div style="text-align: right;">Respectfully submitted,</div> <div style="text-align: center; margin: 10px 0;">  </div> <div>         Date: November 5, 2002          Reg. No. 50,749          Tel. No.: (617) 310-8081          Fax No.: (617) 248-7100       </div> <div style="text-align: right; margin-top: 10px;">         Leigh J. Martinson          Agent for Applicants          Testa, Hurwitz &amp; Thibault, LLP          High Street Tower          125 High Street          Boston, MA 02110       </div>